

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Art Unit : **Customer No.: 035811**  
Examiner :  
Serial No. :  
Filed : Herewith  
Inventors : Tetsuo Yamada Docket No.: 1034-04  
              : Shin-ichi Sakata  
Title : SIALON-BASED OXYNITRIDE PHOSPHOR, Confirmation No.:  
        : PROCESS FOR ITS PRODUCTION, AND  
        : USE THEREOF

Dated: February 3, 2004

**INFORMATION DISCLOSURE STATEMENT**

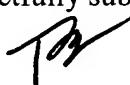
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Applicants submit herewith Form PTO-1449 together with copies of the publications listed therein. The documents are submitted to comply with 37 C.F.R. §1.56 and are believed related to this application.

The Applicants respectfully request that this Information Disclosure Statement be officially entered into the file of this case and that appropriate notification be made that it was considered by the Examiner.

Respectfully submitted,

  
T. Daniel Christenbury  
Reg. No. 31,750  
Attorney for Applicant(s)

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(215) 656-3381

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTY. DOCKET NO. 1034-04		SERIAL NO.			
			APPLICANTS Tetsuo Yamada et al.					
			FILING DATE Herewith		GROUP			
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA							
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	JP 62-223009 A	10/01/87	Japan				x
	AM	JP 2001-261447 A	09/26/01	Japan				x
	AN	JP 2002-363554 A	12/18/02	Japan				x
	AO							
	AP							
<b>OTHER PRIOR ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AR	Thommy Ekström et al., Formation of an Y/Ce-Doped $\alpha$ -Sialon Phase, Journal of the European Ceramic Society, 1991, Vol. 8, pp. 3 – 9						
		H. Mandal et al., $\text{CeO}_2$ -doped $\alpha$ -sialon ceramics, Journal of Materials Science Letters, 1996, Vol. 15, pp. 1435 - 1438						
	AS							
	AT							
EXAMINER				DATE CONSIDERED				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.								